## Part 4: from <u>method</u> to <u>usable system</u> Human-Centered NLP

**Philippe Laban (Salesforce Research)** 



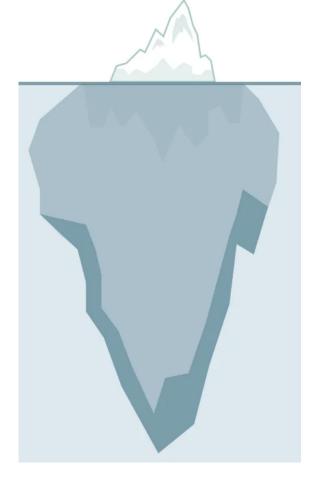
It seems like LLMs can already perform & evaluate text editing tasks...

Is text editing "solved"?

What keeps us from using it in practical settings?

(you might be too shy to ask...)

# Going from a <u>method</u> to a <u>usable system</u>: Human-Centered NLP



#### An Intro to Human-Centered NLP

#### PART 1

Beyond the ML Method <u>Situation:</u> I have built a cool NLP model and I want to people to use it!

What should I consider when building the system that uses my NLP method?

#### PART 2

Usability Testing Crash Course <u>Situation:</u> I have built a system that uses my NLP model. How do if "it works" for users?

A deep-dive into two usability studies of an LLM-based text-editing system.



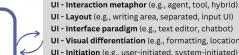
Writing stage (e.g., planning, drafting, revision) Writing context (e.g., academic, journalistic, technical) Purpose (e.g., expository, narrative, descriptive) Specificity (e.g., general direction, detailed requirements) Audience (e.g., specified, implied)

Demographic profile (e.g., age, language & culture) User capabilities (e.g., writing expertise, efficiency) Relationship to system (e.g., agency, ownership, trust) System output preferences (e.g., coherence, diversity)





Data - Source (e.g., experts, users) Data - Size (e.g., small, medium, large) Model - Type (e.g., rule-based, foundation model) Model - External resource access (e.g., tool, data) Technology Learning - Problem (e.g., classification, generation) Learning - Algorithm (e.g., supervised, unsupervised) Learning - Training and adaptation (e.g., fine-tuning, prompting) Evaluation - Evaluator (e.g., automatic, machine-learned) Evaluation - Focus (e.g., linguistic quality, controllability)



UI - Layout (e.g., writing area, separated, input UI) UI - Interface paradigm (e.g., text editor, chatbot) UI - Visual differentiation (e.g., formatting, location) UI - Initiation (e.g., user-initiated, system-initiated)

User - Steering the system (e.g., explicit, implicit, no control)

User - Integrating system output (e.g., selection, inspiration)

Interaction

System - Output type (e.g., analysis, generation) System - Curation type (e.g., deterministic, curated options) System - User data access (e.g., input text, additional data)

Scalability (e.g., cost, latency)

Digital infrastructure (e.g., usability consistency, technical interoperability) Social factors (e.g., designing with stakeholders, designing for social writing) Locale (e.g., local writing, remote writing) Access model (e.g., free and/or open-source software, commercial software) Norms & Rules (e.g., laws, conventions) Change over time (e.g., authors, readers, writing, information environment)

Ecosystem

**Technology** is only one aspect to consider when building a System.

Important to also consider what the **Task** is. who the User is. what the **Interaction** is. and how the **Ecosystem** works.

See this great paper surveying 115 papers in the space!

A Design Space for Intelligent and Interactive Writing Assistants, Lee et al. - CHI 2024

#### Task: What is the user trying to accomplish?

*Example:* consider the <u>Writing Stage</u> of the user. What stage of writing does the system support? (1) Idea Generation, (2) Planning, (3) Drafting, or (4) Revision?

#### Task: What is the user trying to accomplish?

*Example:* consider the <u>Writing Stage</u> of the user.

What stage of writing does the system support?

(1) Idea Generation, (2) Planning, (3) Drafting, or (4) Revision?



(see the paper for other task considerations: Writing Context, Purpose, Specificity, and Audience)

Example: What are the target <u>User Capabilities</u>?



An international Wikipedia Editor might need help with American English rules.

Example: What are the target <u>User Capabilities</u>?



A 6th Grade Student might need help spotting grammar & spelling issues.

Example: What are the target <u>User Capabilities</u>?



A Ph.D. Student might need help making sure technical terminology is accurate.

Example: What are the target <u>User Capabilities</u>?



#### A professional

writer

might not want the system to make changes affecting their style

Example: What are the target User Capabilities?



# The user's capabilities and needs should be considered during system design!

#### Interaction: How do User, User Interface, and System interact?

*Example*: How is the system output triggered? (Initiation)



User-Initiated Reactive



System-Initiated Proactive

(see the paper for other interaction considerations: Interface Paradigm, Visual Differentiation, Steering, Integration)

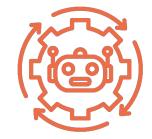
#### Interaction: How do User, User Interface, and System interact?

*Example*: How is the system output triggered? (Initiation)



User-Initiated Reactive

More natural for Idea Generation & Planning (ask for what you want)



System-Initiated Proactive

More natural for Revision, Grammar, Typos (continuous scan & fix)

(see the paper for other interaction considerations: Interface Paradigm, Visual Differentiation, Steering, Integration)

#### Ecosystem: Does the system fit in the overall ecosystem?

*Example*: What compatibility issues does the system consider?



Usability Consistency

Does the system align with other systems in terms of usability?



Technical Operability

With external services (APIs, tangible world, etc.)

(see the paper for other interaction considerations: Access Model, Social Factors, Locale, Norms, Change over Time)

Task, User, Interaction, Ecosystem

...

What if I just want to build cool NLP models?

<u>Good news:</u> You do not need to "check all the boxes".

But building useful systems likely requires considering some of these.

#### Let's see it in action:

# **InkSync** Executable and Verifiable Text-Editing with LLMs

Philippe Laban, Jesse Vig, Marti Hearst, Caiming Xiong, Chien-Sheng Wu UIST 2024

## Motivation: Imagine that you are drafting an email

In the past... you have probably sent an email draft to ChatGPT, asking for feedback on:

- How to change to the (+ formal)
- Fix typos/grammar
- Insert additional details
- Etc.

#### You

. . .

Help me make my email sound more formal and professional. Also add details about things to do in Rome.

Subject: Plan your next vacation.

Hey Bob,

How have you been? Are you tired and wanna go on a vacation? I have special invitation to Rome, here are the details.

Experience vibrant cultur and explore the stunning landscapes the place has to offer.

Dont miss out on this fantastc opportunity! Reach out to us now to book your escape. Your dream vacation awaits!

Cheers, InkSync Agency

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## Motivation: LLM Typical Reply to Rewriting

The LLM typically replies with a new version of the document.

Pros:

- Ready-to-use
- Convenient to copy-paste

Cons:

- Editing suggestions are implicit
- Not easy to accept subset of edit suggestions

We surveyed knowledge workers: most who use LLMs for rewriting frequently accept LLM rewrites verbatim. Most look at suggestions, and decide which to include in their editor.

#### ChatGPT

Subject: Plan Your Next Vacation in Rome

#### Dear Bob,

I trust this message finds you in good health and high spirits. If you have been contemplating a getaway, we have an exclusive invitation for you to explore the captivating city of Rome. Here are the details.

Rome is a city steeped in history, offering a plethora of attractions and experiences. While you are here, you can visit iconic landmarks such as the Colosseum, the Vatican City, and the Roman Forum. Explore the charming streets of Trastevere, savor exquisite Italian cuisine in quaint trattorias, and indulge in the world-famous gelato.

Do not miss out on this fantastic opportunity to embark on an unforgettable journey. Please feel free to reach out to us at your earliest convenience to discuss the details and book your dream vacation in Rome.

We look forward to assisting you in creating a truly memorable experience.

Best regards,

[Your Name]

InkSync Agency

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#### Motivation: Reverse-Engineering the LLM Output

Using a string-alignment algorithm (Levenshtein), we can recover the exact edits proposed by the LLM: the characters inserted and deleted.

This makes the editing suggestions more **explicit**.

But such string alignment visualization is not common in chat interfaces, and taking editing actions based on this would require manual work, that could be error-prone. Subject: Plan yYour nNext vacation in Rome.

#### HeyDear Bob,

How have you been? I trust this message finds you in good health and high spirits. Are you tired and wanna go on a vacation? If you have been contemplating a getaway, I have special we have an exclusive invitation to explore the captivating city of Rome,. hHere are the details.

Experience vibrant cultur and explore the stunning landscapes the place has to offer. Rome is a city steeped in history, offering a plethora of attractions and experiences. While you are here, you can visit iconic landmarks such as the Colosseum, the Vatican City, and the Roman Forum. Explore the charming streets of Trastevere, savor exquisite Italian cuisine in quaint trattorias, and indulge in the world-famous gelato.

Dont not miss out on this fantastic opportunity to embark on an unforgettable journey!. Please feel free to Rreach out to us now at your earliest convenience to discuss the details and book your escape dream vacation in Rome.

Your dream vacation awaits! We look forward to assisting you in creating a truly memorable experience.

CheersKind Regards, [Your Name] InkSync Agency

# Motivation: What if we ask for explicit edits directly?

We could ask the LLM to provide its feedback in bullet-point form? Adding this to the prompt:

Do not rewrite the document. Instead, list out exactly all the editing changes you would make to the document.

Sorry for the small font, answer is **much** longer.

Pros:

- LLM provides explanations/reasons.
- Transparent: know how many edits are suggested.

Con: Still hard to integrate, requires manual work, so nobody does this.

#### ChatGPT

Here are the editing changes I would make to your email to make it sound more formal and professional:

- 1. Change the subject line to be more descriptive and professional: Subject: Discover Rome: Your Next Vacation Destination
- 2. Address the recipient more formally:
  - Change "Hey Bob," to "Dear Bob,"
- 3. Improve the opening sentence to sound more professional:
  - Change "How have you been?" to "I hope this message finds you well."
- 4. Make the invitation more formal:
  - Change "I have special invitation to Rome, here are the details." to "We are pleased to extend an exclusive invitation for you to visit Rome. Please find the details below."
- 5. Enhance the description of Rome:

Change "Experience vibrant cultur and explore the stunning landscapes the place has to offer." to "Immerse yourself in the vibrant culture and explore the breathtaking landscapes that Rome has to offer."

- 6. Correct the spelling and grammar errors:
  - Change "cultur" to "culture."
  - Change "fantastc" to "fantastic."
- 7. Include more information about Rome's attractions:
  - Add a brief paragraph about popular things to do in Rome, such as visiting the Colosseum, exploring the Vatican City, trying authentic Italian cuisine, and strolling through historic neighborhoods like Trastevere.
- 8. Encourage action in a professional manner:
  - Change "Dont miss out on this fantastc opportunity! Reach out to us now to book your escape." to "Don't miss out on this fantastic opportunity! We encourage you to contact us at your earliest convenience to secure your dream vacation."
- 9. Sign off more formally:
  - Change "Cheers, InkSync Agency" to "Best regards, InkSync Agency"

By making these changes, your email will appear more formal and professional while also providing additional information about things to do in Rome to entice the recipient.

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In InkSync, we go a step further, and ask the LLM to generate <u>executable</u> edits, in a standardized <u>edit language.</u>

Executable edits are first-class objects in the text editor, which the user can review, accept & dismiss <u>in one click</u>.

# Title: Come see Singapore! Hey Dear Paul, Accept Suggestion X Dismiss Suggestion

#### Executable Edit Language - Example Edit

"original\_text": "Hey Paul",
"replace\_text": "Dear Paul",
"replace\_all": "o",
"component": "chat",
"new\_info": "o"



InkSync has **four components** that can produce executable edits:



https://inksync.salesforceresearch.ai/

#### InkSync Demo!

InkSync has four components that can produce executable edits:



In this talk: we'll look at two of them, see paper/demo for other two.

## InkSync Demo 📃 CHAT

1. The user asks for editing help

2. The LLM replies:

2a. In plain text (in the chat)

2b. With a list of executable edits (viewed in the editor) Because Chat is LLM-based, it can handle a broad range of editing tasks.

Sync	B My Email	Settings
New	Subject: Followup on call Vacation to Rome?	🛛 View – Inline
nail 🔳	Hey Alice, It was great talking with you last week, I'm excited to help you plan your trip to Rome, Italy.	Don't hesistate get help in
ed Doc	There you'll experience vibrant cultur and explore the stunning landscapes the place has to offer.	the chat.
	You'll also get to eat delicious dishes, as Rome is quite famous for food.	
	Dont miss out on this fantastc opportunity! Reach out to us now to book your escape. Your dream vacation awaits!	
Audit	Cheers, InkSync Agency	Send Amessage



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1. The user creates a Marker once. (e.g.: look out for Typos)

2. During editing, Markers continuously suggests executable edits, running in the background.

Markers = proactive Chat = reactive

My Email	Settings
Subject: Followup on call Vacation to Rome?	🔁 View – Inline
Hey Alice,	🖸 🖉 Typos 🖸 🗏
It was a pleasure speaking with you last week. I am eager to help you plan your	
trip to Rome, Italy.	• Professional C ≡
~	+ Add Marker
There you'll experience vibrant culture and explore the stunning landscapes and	
must-see monuments such as the Colosseum, St. Peter's Basilica, and Trevi	Chat Comment O Verify
Fountain.	Basilica, and Trevi Fountain
	will make the trip sound
You'll also get to eat delicious dishes, as Rome is known for its rich cuisine	even more exciting. Here are my suggestions. Take a look!
such as pasta Carbonara, Roman pizza a thin, crispy pizza with various	Thy suggestions. Take a look:
toppings – and gelato.	1 chat suggestion accepted.
55265 etc) 1550	
Don't miss out on this fantastic opportunity! Reach out to us now to book your	
escape. Your awaiting dream vacation!	Send a message

As	pe	ct

Dimensions

Tags

Aspect	Dimensions	Tags
Task	Writing Stage	Drafting and Revision (not Idea Generation & Planning)

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Interaction	UI — Interface Paradigm	Text Editor with Chat on the Side
Interaction	UI — Visual Differentiation	Formatting (Red/Green Styling)
Interaction	UI — Initiation	Both Reactive (Chat) and Proactive (Markers)

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Interaction	UI — Interface Paradigm	Text Editor with Chat on the Side
Interaction	UI — Visual Differentiation	Formatting (Red/Green Styling)
Interaction	UI — Initiation	Both Reactive (Chat) and Proactive (Markers)
Ecosystem	Model Access	Does not allow for model change
Ecosystem	Usability Consistency	Visual Resemblance to other Text Suggestion systems

<u>In summary</u>: All dimensions might not be relevant to every project. But considering where a project fits within the space can help situate the work & come up with ideas.

#### An Intro to Human-Centered NLP

#### PART 1

Beyond the ML Method Situation: I have built a cool NLP model and I want to people to use it! What should I courser when building the system that uses my NLP method?

#### PART 2

Usability Testing Crash course Situation: I have built a system that uses my NLP model. How do if "it works" for users?

A deep-dive into two usability studies of an LLM-based text-editing system.

## $\vec{c}$ Advice #1: Consider the Task & Participants Carefully





- Think carefully of total task duration:
  - Enough time for meaningful interaction & feedback
  - Not too long to avoid burnout / skipping

Plan for time to train/onboard participants on how to use the interface.

## InkSync Study 1: Task Setup

<u>Task:</u> Participants are given an email template from the "*InkSync Travel Agency*" They have 6 minutes to:

- <u>Objective A:</u> Make sure it sounds professional / doesn't have typos
- <u>Objective B:</u> Customize it to a travel destination / customer persona

They will use different kinds of interfaces with and without assistance.

#### O∆ Advice #2: Select Broad Study Conditions



Make sure to select **baselines** and "**oracle**" settings when possible.







#### Decide on study design:

- <u>Between-subjects:</u> Each participant interacts with **one** condition
- Within-subjects: Each participant interacts with **all** conditions

## InkSync Study 1: Study Conditions

Six conditions:

Manual Editing (Baseline)	Non-Executable Chat (Baseline)	Chat Only
Markers Only	Local Only	InkSync 4-Components

- Recruit ~100 participants.
- Each completing 3 sessions (in random order) -> total 300 editing sessions
- Intro Material (2-min) + 3 x 6-min editing + 2-min survey + 5-min buffer
   (you always need to include buffer time, unexpected things happen)

# of Advice #3: Include Redundancy in Evaluation Signal

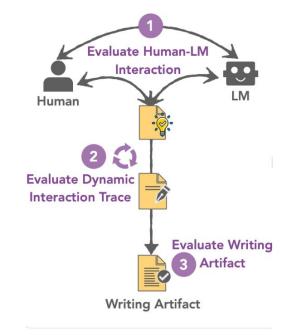


#### Evaluate Interaction Logs

Evaluate "Product/Artifact" of Study







#### (a) Parachute Overview

#### InkSync Study 1: Evaluation Setup

INTERACTION LOGS	ARTIFACT ANALYSIS	PARTICIPANT OPINION
Editing Efficiency (Levenshtein)	Objectives A/B Scoring	Likert Usability Questions
Component Usage Popularity	Hallucination Analysis	Condition Preference Ranking
	Divergence Analysis	

Redundancy = confirmation

#### InkSync Study 1: Example Completed Task

#### Initial Email Template - Study 1 (1 of 3)

Subject: Plan your next vacation.

Hey [Customer's Name],

How have you been? Are you tired and wanna go on a vacation? I have special invitation to [City Destination], here are the details.

Experience vibrant cultur and explore the stunning landscapes the place has to offer.

Dont miss out on this fantastc opportunity! Reach out to us now to book your escape. Your dream vacation awaits!

Cheers,

InkSync Agency

Gloria is traveling with 2 children

Destination: Egypt

Subject: YourPlan The Next Unforgettable Getaway! Trip You Won't Forget to Egypt!

HeyDear [Customer's Name]Gloria,

How's life treating you? Are you Ffeeling exhausted and in need of an escapes? Well, do we have a surprise an exciting opportunity for you! We're extending a special invitation just for you to experience the trip of a lifetime to Egypt. You can visit the stunning Pyramids of Giza, one of the original seven wonders of [City Destination]. the ancient world! Prepare to You will surely be amazed!

Get ready Prepare to immerse yourself of in a world of rich culture and behold the breathtakering landscapes that awaits you. It's a place where dreams come true! memories are created and is an ideal destination for families. There are engaging experiences tailored for all ages, such as camel-riding around the Giza Plateau or a family cruise down the Nile River.

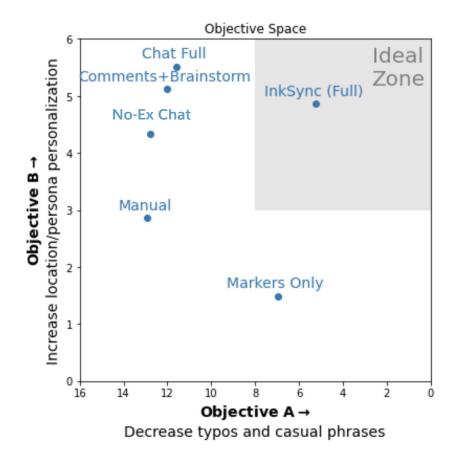
Imagine yourself wandering through the streets, immersing yourself in local tradition, and discovering hidden gems that will make your back home friends envious, visiting local markets, experiencing new culinary delights, snorkeling in the Red Sea, and even hot air ballooning in Luxor.

Don't let Seize this incredible opportunity slip through your fingers without delay. Reach out to us now to secure your spot for an extraordinary vacation in Egypt that you'll cherish forever. Your perfect getaway, complete with a taste of Egypt's delicious cuisine with its delicious dishes like Koshari, Molokhia, and Ta'meya, is just a call away!

CheersKind Regards, InkSync Agency

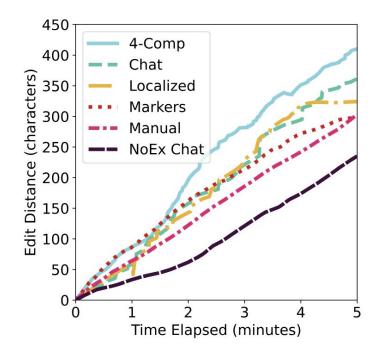
Legend: 🖑 Manual Editing 🔗 Markers 🖃 Chat 🔼 Comment 🥊 Brainstorm

#### InkSync Study 1: Objectives A/B Scoring



- "Markers"-like interactions help with Objective A: constantly identifying & remove typos / casual phrases.
- "Chat"-like interactions (even non-executable) help with Objective B: customization / idea generation.
- Combining both (InkSync Full) leads to the best of both: components are complementary.

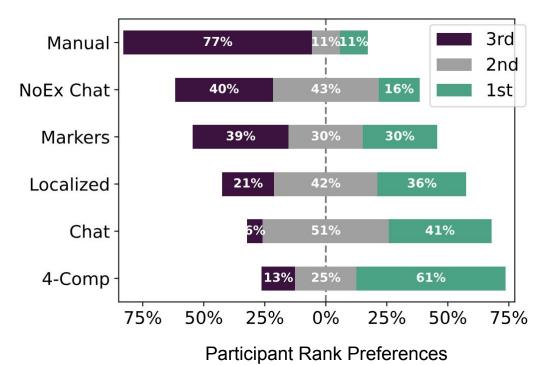
#### InkSync Study 1: Editing Efficiency



Edit Efficiency Analysis

- Participants with executable edits lead to faster/more efficient editing.
- NoEx Chat even slower than Manual (!) -> divided attention, more effort required.
- 4-Comp (Full interface) leads to fastest editing -> complementary components.

#### InkSync Study 1: Condition Preference Ranking



Participants ranked the 3 interfaces they used.

4-Comp most preferred, followed by Chat. NoEx Chat & Manual least preferred.

#### InkSync Study 1: Hallucination Analysis

Manual analysis of ~300 editing sessions reveals:

- 28% of edited emails contain a minor factual error
  - e.g., saying that Paris is an affordable European city
- 15% of edited emails contain a major factual error
  - e.g., recommending a visit to a Zoo that closed in 2017

To mitigate such risks, we implement a framework that builds on top of executable edits:

### WARN - VERIFY - AUDIT

When the LLM generates an executable edit, it must populate a *new\_info* key: does the edit introduce new information or not?

When new information is introduced, there's a risk of hallucination and the user is <u>warned.</u>

(a manual analysis confirms GPT4 is ~97.5% accurate at this task) Executable Edit Language - Example Edit

"original\_text": "Hey Paul",
"replace\_text": "Dear Paul",
"replace\_all": "0",
"component": "chat",
"new\_info": "0"

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### InkSync Demo 🛕 WARN & 🔕 VERIFY

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When a warning is shown, the user is given an option to <u>verify</u> the edit.

To verify the edit, the LLM generates **search engine queries**, that the user can visit to assess the edit's veracity.

Verification is human-in-the-loop by design.

My Email	Settings
In Rome, you'll experience vibrant culture and explore the stunning landscapes	🛛 View — Inline
and must-see monuments such as the Colosseum, St. Peter's Basilica, and	Den Chat Z Comment O Veri
Trevi Fountain.	
	even more exciting. Here are my suggestions. Take a look!
You'll also get to eat delicious dishes, as Rome is known for its rich cuisine	my suggestions. Take a look.
such as pasta Carbonara, Roman pizza a thin, crispy pizza with various	1 chat suggestion accepted.
toppings – and gelato.	
	add emojis
To secure your escape, we encourage you to reach out to us at your earliest	Sure, how about these emoji
convenience. Your dream vacation awaits!	placements? Don't hesitate
	to let me know if you want any changes.
Kind Regards,	any onungeo.
InkSync Agency	12 chat suggestions accepted.
	Send a message

# InkSync Demo 🕲 AUDIT



Because edits are executed, we can trace each character's origin (LLM-gen vs. human-written).

We can trace characters during editing sessions, and design a view-only auditing interface.

The auditor can verify edits in the interface, performing a final check before the doc is sent/published.

InkSync	B My Email	Settings
+ New Tweet Thread My Email Untitled Doc	Dear Alice, It was a pleasure speaking with you last week. I am eager to help you plan your trip to Rome, Italy. In Rome, you'll experience vibrant culture and explore the stunning landscapes and must-see monuments such as the Colosseum, St. Peter's Basilica, and	<ul> <li>✓ View – Inline</li> <li>✓ Markers</li> <li>✓ Typos</li> <li>✓ Professional</li> <li>✓ =,</li> <li>✓ Confidence</li> <li>✓ =,</li> </ul>
<b>audit</b>	Trevi Fountain. You'll also get to eat delicious dishes, as Rome is known for its rich cuisine such as pasta Carbonara, Roman pizza – a thin, crispy pizza with various toppings – and gelato. We recommend a visit to 'La Pergola', a Michelin 3-star restaurant in Rome for a memorable dining experience. To secure your escape, we encourage you to reach out to us at your earliest convenience. Your dream vacation awaits!	Add Marker ■ Chat □ Comment ○ Verify When an edit introduces new information, click on the ○ icon to verify the information. The



#### InkSync Study 2: Study Conditions

Objective: Study whether Warn-Verify-Audit can assist participants in detecting and avoiding hallucinations. Four conditions:

<b>No Framework</b> (Baseline)	Warn-Verify
Audit	Warn-Verify-Audit



## Advice #4: Adapt the System for the Study



Problem: errors "only" occur in in 15–30% of documents, so not every participant would see a hallucination.



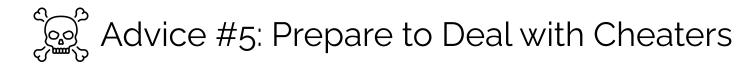
Solution: modify the system to produce **more hallucinations** during the study. Now each participant will encounter factual errors.



A usability study is not a product review.



Control the environment as much as you can.



Unavoidable: some % participants don't do the task well, either because: (1) they don't care, (2) they don't understand.



Make it easy to spot unengaged participants.



Don't: "Force" participants to complete task (they'll find another way to cheat)



Expect to filter out 10-20% of unengaged participants. Filter all data, don't cherry-pick.



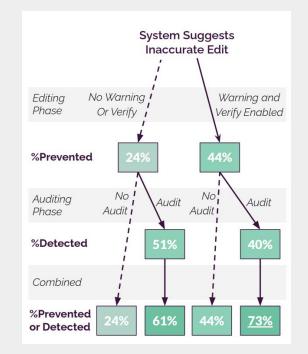
Don't: withhold payment of unengaged participant. It's a part of the game.

InkSync Study 2: Filter out any participant that doesn't run any verification during the study -> indicative of unengaged participant.

#### InkSync Study 2 High-Level Results

Does Warn-Verify-Audit work?

We ran a second usability study focused on the framework:



Edit- and Audit-time verification are complementary & both help detect and prevent factual errors.



- ♦ #2: Select Broad Study Conditions
- of #3: Include Redundancy in Evaluation Signal



- #4: Adapt the System for the Study
- چیک #5: Prepare to Deal with Cheaters

#### Questions? (don't be shy!)

Some seed questions:

- Do Usability ever scale? What about statistical significance?
   Don't you always need 1000+ participants??
- My lab can't afford paying for participants, what should I do?
- What is your favorite use-case for text-editing?
- I'm a young researcher, what problem should I tackle?



Yao Dou



Dou Ph

Philippe Laban



**Claire Gardent** 



Wei Xu

https://acl2024-text-generation-tutorial.github.io/

